



DEFINED BENEFIT ANNUAL CERTIFICATION
FOR EMPLOYERS WHO SPONSOR DEFINED BENEFIT PENSION PLANS
THAT ARE NOT ADMINISTERED BY THE CHURCH PENSION FUND

Plan name: _____

Employer name: _____

Employer address: _____

Geographic diocese: _____

Governing diocese(s): _____

Plan administrator: _____

Plan trustee(s): _____

Consulting actuaries: _____

I certify that the above defined benefit pension plan, a qualified plan under Section 401(a) of the Internal Revenue Service Code, complies with the provisions of Resolution A138 as follows:

Pension benefits are provided through the plan to all lay employees scheduled for 1,000 hours or more of compensated work annually.

____ Yes ____ No

Minimum age limitations for plan participation (e.g., the employee must be at least 21 years of age to participate) have been eliminated or will be eliminated by January 1, 2013.

____ Yes ____ No

Minimum length of service requirements for plan participation (e.g., the employee must have been employed for one year to participate) have been eliminated or will be eliminated by January 1, 2013.

____ Yes ____ No

The "factor" used in the benefit formula is a minimum of 1.5% (i.e., the benefit formula is equal to final average, highest average or career average compensation or an alternative pay-based computation times credited service in the plan times a factor that equal or exceeds 1.5%).

____ Yes ____ No

The plan is either:

fully or adequately funded using reasonable actuarial assumptions and plan contributions are equal to or exceed the amount computed annually by the consulting actuaries

____ Yes ____ No

or

plan assessments equal or exceed 9% of each participating employee's annual compensation.

____ Yes ____ No

Authorized signature

Title

Date

A representative of the Church Pension Fund's Pension Services Department will contact you to discuss a plan for corrective action should the Fund determine that the defined benefit plan you sponsor does not comply with the provisions of Resolution A138. Compliance is required by January 1, 2013.

Please note that the above is provided for purposes of complying with Resolution A138 and should not be viewed as tax, legal or other advice.